



ISASecure Laboratory Working Document

ASSESSMENT INFORMATION	
Assessment Number	Date(s)
CAB name:	
Lead Assessor:	
Team Members:	
<input type="checkbox"/> Accreditation Assessment <input type="checkbox"/> Reassessment <input type="checkbox"/> EOA Reason:	
Location of Assessment: <input type="checkbox"/> Onsite <input type="checkbox"/> Virtual <input type="checkbox"/> Remote Desk Review	

Instructions:

This checklist is to be used in conjunction with the LF-56 Supplement for the standard identified above.

The assessment team is to use this checklist to evaluate the design and utilization of the management system according to ISASecure program requirements.

This working document is intended as a checklist for the assessor when conducting Testing Laboratory Accreditation Assessments according to ISASecure program requirements. The ISO/IEC 17025:2017 checklist shall be utilized in conjunction with this checklist.

Nonconformances shall be raised against the ISASecure program requirements, as applicable, along with ISO/IEC 17025:2017.

The checklist is a tool for recording the objective evidence used by the assessment team in the determination of conformance of standard requirements during the assessment.

Assessments shall be conducted using the standard, not this checklist.

Refer to the standard for complete clauses and related notes.

***** ON ACCREDITATION AND REACCREDITATION ASSESSMENTS, ALL CLAUSES OF THE STANDARD MUST BE COVERED AND DOCUMENTED ON THIS CHECKLIST *****



ISASecure Laboratory Working Document

PJLA

Clause	Summary of Requirement	Document(s)	Conformity Determination	Objective Evidence Reviewed to Make Determination
ISO/IEC 17025:2017 ISASecure CSA/ICSA/SDL A/SSA	The checklist is a tool for recording the evidence of the assessment activity. Assessments shall be conducted using the standard, not this checklist. Refer to the ISA Security Compliance Institute ISASecure Specific Requirements standard for complete clause	CAB QM w/section Policy/SOP/WI	Y/N/NA	Assessor Identify documents/records/observations of practices/ results of interviews, etc. used to determine how the laboratory is/is not meeting the clause
4.2	Confidentiality			
CSA – 6.2.3 ICSA – 6.2.3 SDLA – 6.2.3 SSA – 6.2.3	R1 - Confidentiality for ASCI and ISCI Does the chartered laboratory have evidence that the general confidentiality requirement includes that neither ASCI nor ISCI have access to information generated during ISASecure evaluations, except by permission of the applicant, or as required to fulfill ISCS's oversight role as scheme owner?			
CSA - 6.2.3 ICSA - 6.2.3 SDLA - 6.2.3 SSA - 6.2.3	R3/SDLA.R2 – Internal distribution for assessment reports Do the chartered laboratory internal procedures for report distribution internal to the chartered laboratory limit copies of test and assessment reports only to those that the chartered laboratory determines need the information to fulfill their work responsibilities?			



ISASecure Laboratory Working Document

PJLA

Clause	Summary of Requirement	Document(s)	Conformity Determination	Objective Evidence Reviewed to Make Determination
ISO/IEC 17025:2017 ISASecure CSA/ICSA/SDL A/SSA	The checklist is a tool for recording the evidence of the assessment activity. Assessments shall be conducted using the standard, not this checklist. Refer to the ISA Security Compliance Institute ISASecure Specific Requirements standard for complete clause	CAB QM w/section Policy/SOP/WI	Y/N/NA	Assessor Identify documents/records/observations of practices/ results of interviews, etc. used to determine how the laboratory is/is not meeting the clause
CSA - 6.2.3 ICSA - 6.2.3 SDLA - 6.2.3 SSA - 6.2.3	R4 – Public availability of ISCI complaint escalation process The [ISO/IEC 17065] requirement 4.6d) in the sub clause 4.6 Publicly available information refers to procedures for handling complaints and appeals. Does this information include the information about complaints to ASCI/ISCI in section 6.5.3.7 of this document?			
CSA - 6.2.3 ICSA - 6.2.3 SDLA - 6.2.3 SSA - 6.2.3	R5 – Time delay from provision of consultancy Does the chartered laboratory ensure that the period of time between personnel having provided consultancy for a product and reviewing or making a certification decision, is at least two years?			
CSA - 6.3.3 ICSA - 6.3.3 SDLA - 6.3.3 SSA - 6.3.3	R6 – Notification of changes to certification requirements Does the chartered laboratory have processes to keep interested parties informed of changes to certification requirements (such as changes to legal agreements associated with the certification process)?			



ISASecure Laboratory Working Document

PJLA

Clause	Summary of Requirement	Document(s)	Conformity Determination	Objective Evidence Reviewed to Make Determination
ISO/IEC 17025:2017 ISASecure CSA/ICSA/SDL A/SSA	The checklist is a tool for recording the evidence of the assessment activity. Assessments shall be conducted using the standard, not this checklist. Refer to the ISA Security Compliance Institute ISASecure Specific Requirements standard for complete clause	CAB QM w/section Policy/SOP/WI	Y/N/NA	Assessor Identify documents/records/observations of practices/ results of interviews, etc. used to determine how the laboratory is/is not meeting the clause
5	Structural requirements			
CSA - 6.3.3 ICSA - 6.3.3 SDLA - 6.3.3 SSA - 6.3.3	R7 – Organizational affiliations Does the chartered laboratory ensure that when a separate legal entity is a major user of certified products, that the personnel are not involved in the management of the certification body, the review, or the certification decision?			
CSA - 6.3.3 ICSA - 6.3.3 SDLA - 6.3.3 SSA - 6.3.3	R8/SDLA.R7 - Financial affiliations The following requirements apply to a chartered laboratory regarding its financial affiliations with suppliers and users of auditable products			
	a) Does the chartered laboratory ensure that they or any major owner of the chartered laboratory?			
	i. do not provide significant financing to a supplier or to a major user of auditable products;			
	ii. is a major owner of a supplier or of a major user of auditable products;			
	b) Does the chartered laboratory ensure that they do not?			
	i. receive significant financing from a supplier or from a major user of auditable products, or their major owners;			



ISASecure Laboratory Working Document

PJLA

Clause	Summary of Requirement	Document(s)	Conformity Determination	Objective Evidence Reviewed to Make Determination
ISO/IEC 17025:2017 ISASecure CSA/ICSA/SDL A/SSA	The checklist is a tool for recording the evidence of the assessment activity. Assessments shall be conducted using the standard, not this checklist. Refer to the ISA Security Compliance Institute ISASecure Specific Requirements standard for complete clause	CAB QM w/section Policy/SOP/WI	Y/N/NA	Assessor Identify documents/records/observations of practices/ results of interviews, etc. used to determine how the laboratory is/is not meeting the clause
	ii. have as a major owner, an organization that is a supplier or a major user of auditable products, or a major owner of such an organization.			
	c) Does any person involved in the management of the certification body, the review, or the certification decision for the chartered laboratory have a significant financial interest in a supplier or major user of auditable products?			
CSA - 6.3.3 ICSA - 6.3.3 SDLA - 6.3.3 SSA - 6.3.3	R9/SDLA.R8 - Chartered laboratory sales and purchases The following requirements apply to a chartered laboratory regarding its sales and purchase activities:			
	a) Does the chartered laboratory have certification services as their major business?			
	b) Does a chartered laboratory not sell auditable products?			
	c) Are prices and agreements related to any products or services that a chartered laboratory purchases from a supplier of auditable products independent of related certification activity?			



ISASecure Laboratory Working Document

PJLA

Clause	Summary of Requirement	Document(s)	Conformity Determination	Objective Evidence Reviewed to Make Determination
ISO/IEC 17025:2017 ISASecure CSA/ICSA/SDL A/SSA	The checklist is a tool for recording the evidence of the assessment activity. Assessments shall be conducted using the standard, not this checklist. Refer to the ISA Security Compliance Institute ISASecure Specific Requirements standard for complete clause	CAB QM w/section Policy/SOP/WI	Y/N/NA	Assessor Identify documents/records/observations of practices/ results of interviews, etc. used to determine how the laboratory is/is not meeting the clause
6.2	Personnel			
CSA - 6.4.3.1 ICSA - 6.4.3.1 SDLA - 6.4.3.1 SSA - 6.4.3.1	R10 – FSA-C/IC/S and SDA-C/IC/S Auditor minimum qualifications Do the minimum qualifications for personnel that are responsible for evaluation to FSA-C and SDA-C requirements include those specified in CSA-200 Table 4?			
CSA - 6.4.3.1 ICSA - 6.4.3.1 SDLA - 6.4.3.1 SSA - 6.4.3.1	SDLA.R9 – Evaluator minimum qualifications Do the minimum qualifications for personnel that are responsible for evaluation to SDLA requirements include those specified in Table 4?			
CSA - 6.4.3.1 ICSA - 6.4.3.1 SDLA - 6.4.3.1 SSA - 6.4.3.1	R11/SDLA.R9A – Chartered laboratory requirement for personnel with full professional certifications Two years after a chartered laboratory receives initial CSA/SDLA accreditation, are all CSA/ICSA/SDLA/SSA certification evaluations toward CSA/ICSA/SDLA/SSA certificates issued by the chartered laboratory performed under the technical oversight of individuals holding a relevant professional certification as specified in the second row of Table 4 or Table 5?			
SDLA - 6.4.3.1	SDLA.R10 – Currency of skills and knowledge Does the chartered laboratory keep staff training up to date on current specification issues (includes participation in technical groups or committees)?			



ISASecure Laboratory Working Document

PJLA

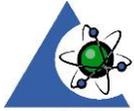
Clause	Summary of Requirement	Document(s)	Conformity Determination	Objective Evidence Reviewed to Make Determination
ISO/IEC 17025:2017 ISASecure CSA/ICSA/SDL A/SSA	The checklist is a tool for recording the evidence of the assessment activity. Assessments shall be conducted using the standard, not this checklist. Refer to the ISA Security Compliance Institute ISASecure Specific Requirements standard for complete clause	CAB QM w/section Policy/SOP/WI	Y/N/NA	Assessor Identify documents/records/observations of practices/ results of interviews, etc. used to determine how the laboratory is/is not meeting the clause
CSA - 6.4.3.1 ICSA - 6.4.3.1 SSA - 6.4.3.1	R12 – VIT-C/IC/S lead evaluator minimum qualifications Does the chartered laboratory demonstrate that they have the minimum qualifications for personnel that that are responsible for the technical aspects of VIT testing and interpretation of results as those specified in CSA-200 Table 5?			
7	Process requirements			
7.1	Review of requests, tenders and contracts			
CSA - 6.5.3.1.1 ICSA - 6.5.3.1.1 SDLA - 6.5.3.1.1 SSA - 6.5.3.1.1	R14/SDLA.R11 – Determining application of specifications. Does the ISCI Technical Steering Committee provide the chartered laboratory with explanations as to the application of the ISASecure specifications? (ISO/IEC 17065 7.1.3)			
CSA - 6.5.3.1.1 ICSA - 6.5.3.1.1 SDLA - 6.5.3.1.1 SSA - 6.5.3.1.1	R15/SDLA.R12 – Determining applicant eligibility Does the chartered laboratory take responsibility for determining whether a product presented by a potential client meets the scope for an CSA/ICSA/SDLA/SSA certification, and in addition for ICSA for 62443 and IIoT component type(s) apply to the product (software application, embedded device, host device, network device, IIoT device, IIoT gateway)?			



ISASecure Laboratory Working Document

PJLA

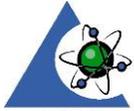
Clause	Summary of Requirement	Document(s)	Conformity Determination	Objective Evidence Reviewed to Make Determination
ISO/IEC 17025:2017 ISASecure CSA/ICSA/SDL A/SSA	The checklist is a tool for recording the evidence of the assessment activity. Assessments shall be conducted using the standard, not this checklist. Refer to the ISA Security Compliance Institute ISASecure Specific Requirements standard for complete clause	CAB QM w/section Policy/SOP/WI	Y/N/NA	Assessor Identify documents/records/observations of practices/ results of interviews, etc. used to determine how the laboratory is/is not meeting the clause
CSA - 6.5.3.1.2 ICSA - 6.5.3.1.2 SDLA - 6.5.3.1.2 SSA - 6.5.3.1.2	R16/SDLA.R13 – Application steps procedure. Do the procedures for processing a certification application identify the steps for the application, administrative/technical processing of the investigation in chronological order, personnel responsible for each stage of the process, and records maintained at various steps of the process?			
CSA - 6.5.3.1.2 ICSA - 6.5.3.1.2 SDLA - 6.5.3.1.2 SSA - 6.5.3.1.2	R17/SDLA.R14 – Maintenance of procedure for application. Do the procedures for developing and maintaining certification application processing procedures identify personnel responsible for developing, reviewing and maintaining the procedures, the frequency for review, and personnel responsible for verifying that the procedures are being followed?			
CSA - 6.5.3.2.1 ICSA - 6.5.3.2.1 SSA - 6.5.3.2.1	R18 – Current ISASecure specifications. Does the chartered laboratory ensure that the appropriate versions (most recent) of ISASecure specifications that are used for certification are identified in accordance with transition policies and specification listings found on the ISASecure web site at http://www.ISASecure.org ?			



ISASecure Laboratory Working Document

PJLA

Clause	Summary of Requirement	Document(s)	Conformity Determination	Objective Evidence Reviewed to Make Determination
ISO/IEC 17025:2017 ISASecure CSA/ICSA/SDL A/SSA	The checklist is a tool for recording the evidence of the assessment activity. Assessments shall be conducted using the standard, not this checklist. Refer to the ISA Security Compliance Institute ISASecure Specific Requirements standard for complete clause	CAB QM w/section Policy/SOP/WI	Y/N/NA	Assessor Identify documents/records/observations of practices/ results of interviews, etc. used to determine how the laboratory is/is not meeting the clause
CSA - 6.5.3.2.1 ICSA - 6.5.3.2.1 SSA - 6.5.3.2.1	R21 – VIT-C/IC/S report. Does the chartered laboratory carry out reporting on VIT-C results for a component in accordance with the requirements on VIT-C reporting in the technical specification for VIT-C, which is listed in the normative references for CSA/ICSA/SDL/SSA-300?			
CSA - 6.5.3.2.1 ICSA - 6.5.3.2.1 SDL - 6.5.3.2.1 SSA - 6.5.3.2.1	R22/SDL.R15 – Assessment report. Does the chartered laboratory include at a minimum an assessment report following the content and format of the CSA/ISCA/SDL/SSA assessment report sample?			
	Does the chartered laboratory provide a report following this template to the client?			
CSA - 6.5.3.2.3 ICSA - 6.5.3.2.3 SSA - 6.5.3.2.3	R28 – Equipment calibration. Does the chartered laboratory identify the persons responsible for the calibration of equipment (where applicable) and authorized to perform each type of calibration?			
	Do the records for each calibration contain sufficient information to permit their repetition?			



ISASecure Laboratory Working Document

PJLA

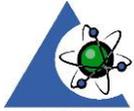
Clause	Summary of Requirement	Document(s)	Conformity Determination	Objective Evidence Reviewed to Make Determination
ISO/IEC 17025:2017 ISASecure CSA/ICSA/SDL A/SSA	The checklist is a tool for recording the evidence of the assessment activity. Assessments shall be conducted using the standard, not this checklist. Refer to the ISA Security Compliance Institute ISASecure Specific Requirements standard for complete clause	CAB QM w/section Policy/SOP/WI	Y/N/NA	Assessor Identify documents/records/observations of practices/ results of interviews, etc. used to determine how the laboratory is/is not meeting the clause
CSA - 6.5.3.2.3 ICSA - 6.5.3.2.3 SDLA - 6.5.3.2.2 SSA - 6.5.3.2.3	R29/SDLA.R16 – Content of test or assessment methods or procedures. Does each test or assessment method or procedure have sufficient detail instructions that assure reasonable repeatability of the test or assessment and include or address the title, effective date, assessment or test data to be obtained and recorded, objective acceptance criteria for results, test or assessment techniques, where additional information to that required by the CSA/ICSA/SDLA/SSA technical specifications is required to meet these goals?			
	CSA/ICSA/SSA - Do the test procedures include or address: specific test equipment to use and instructions for handling the equipment?			
SDLA - 6.5.3.2.2	SDLA.R17 - Sampling Does the chartered laboratory have and use adequate documented instructions on sampling techniques, where the absence of such instructions could jeopardize the effectiveness of the assessment process?			



ISASecure Laboratory Working Document

PJLA

Clause	Summary of Requirement	Document(s)	Conformity Determination	Objective Evidence Reviewed to Make Determination
ISO/IEC 17025:2017 ISASecure CSA/ICSA/SDL A/SSA	<p>The checklist is a tool for recording the evidence of the assessment activity.</p> <p>Assessments shall be conducted using the standard, not this checklist.</p> <p>Refer to the ISA Security Compliance Institute ISASecure Specific Requirements standard for complete clause</p>	CAB QM w/section Policy/SOP/WI	Y/N/NA	Assessor Identify documents/records/observations of practices/ results of interviews, etc. used to determine how the laboratory is/is not meeting the clause
CSA - 6.5.3.2.3 ICSA - 6.5.3.2.3 SDLA - 6.5.3.2.2 SSA - 6.5.3.2.3	<p>R31/SDLA.R18 – Content of test or assessment data sheet.</p> <p>Do each test or assessment data sheet or similar document include the test or assessment procedure, and specification used, date of the test or assessment, test or assessment report number, signature of the personnel performing the test or assessment, and test or assessment results?</p>			
	<p>CSA/ICSA/SSA - Do test data sheets include the product or component tested and test equipment used?</p>			
CSA - 6.5.3.2.3 ICSA - 6.5.3.2.3 SDLA - 6.5.3.2.2 SSA - 6.5.3.2.3	<p>R32/SDLA.R19 – Content of procedure maintenance procedures.</p> <p>Do the procedures for developing and maintaining test or assessment methods and procedures identify the personnel responsible for developing, reviewing and maintaining the procedures, specify frequency of review by management, ensure consistency with recognized specifications, ensure that deviations still assure the product, component or process conforms with the specification, and ensure modifications are reviewed by personnel who are familiar with the specification?</p>			



ISASecure Laboratory Working Document

PJLA

Clause	Summary of Requirement	Document(s)	Conformity Determination	Objective Evidence Reviewed to Make Determination
ISO/IEC 17025:2017 ISASecure CSA/ICSA/SDL A/SSA	The checklist is a tool for recording the evidence of the assessment activity. Assessments shall be conducted using the standard, not this checklist. Refer to the ISA Security Compliance Institute ISASecure Specific Requirements standard for complete clause	CAB QM w/section Policy/SOP/WI	Y/N/NA	Assessor Identify documents/records/observations of practices/ results of interviews, etc. used to determine how the laboratory is/is not meeting the clause
CSA - 6.5.3.2.3 ICSA - 6.5.3.2.3 SDLA - 6.5.3.2.2 SSA - 6.5.3.2.3	R33SDLA.R20 – Content of procedures for evaluating test or assessment data. Do the chartered laboratory procedures for evaluating test or assessment data require the investigator to verify and use a latest appropriate specification edition, provide written justification of how a product, component or process complies with each section of the specification (including a reference to a test or assessment procedure), and address components not listed by the supplier?			
CSA - 6.5.3.2.3 ICSA - 6.5.3.2.3 SDLA - 6.5.3.2.2 SSA - 6.5.3.2.3	R34/SDLA.R21 – Content of policy for evaluation of test or assessment data. Do the chartered laboratory policies on evaluation of test or assessment data identify personnel responsible for technical decisions on the specification, how to decide which section of a specification applies, how to handle newly developed technologies when the specification does not apply; require that interpretations of the specifications are documented and made readily available for the appropriate investigators; and require the resolution of product, component or process discrepancies without the laboratory engaging in the redesign, except to explain the failures in regard to the ISASecure specification?			



ISASecure Laboratory Working Document

PJLA

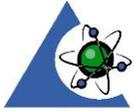
Clause	Summary of Requirement	Document(s)	Conformity Determination	Objective Evidence Reviewed to Make Determination
ISO/IEC 17025:2017 ISASecure CSA/ICSA/SDL A/SSA	The checklist is a tool for recording the evidence of the assessment activity. Assessments shall be conducted using the standard, not this checklist. Refer to the ISA Security Compliance Institute ISASecure Specific Requirements standard for complete clause	CAB QM w/section Policy/SOP/WI	Y/N/NA	Assessor Identify documents/records/observations of practices/ results of interviews, etc. used to determine how the laboratory is/is not meeting the clause
CSA - 6.5.3.2.3 ICSA - 6.5.3.2.3 SDLA - 6.5.3.2.2 SSA - 6.5.3.2.3	R35/SDLA.R22 – Content of procedures for preparing technical reports Do the chartered laboratory procedures for preparing technical reports ensure the following? Identify personnel responsible for preparation, review of technical content, and initial or revision approval; Require the appropriate test and evaluation procedures; and Ensure that technical corrections involve qualified personnel.			
7.5	Technical records			
CSA - 6.5.3.3 ICSA - 6.5.3.3 SDLA - 6.5.3.3 SSA - 6.5.3.3	Directory of certified products [SDLA processes]			
CSA - 6.5.3.3 ICSA - 6.5.3.3 SDLA - 6.5.3.3 SSA - 6.5.3.3	R36/SDLA.R23 – Input to scheme directory Does the chartered laboratory, with permission of the certification client, inform ISCI of each certification granted and provide a copy of the certificate, to support ISCI's central directory of ISASecure certifications?			



ISASecure Laboratory Working Document

PJLA

Clause	Summary of Requirement	Document(s)	Conformity Determination	Objective Evidence Reviewed to Make Determination
ISO/IEC 17025:2017 ISASecure CSA/ICSA/SDL A/SSA	The checklist is a tool for recording the evidence of the assessment activity. Assessments shall be conducted using the standard, not this checklist. Refer to the ISA Security Compliance Institute ISASecure Specific Requirements standard for complete clause	CAB QM w/section Policy/SOP/WI	Y/N/NA	Assessor Identify documents/records/observations of practices/ results of interviews, etc. used to determine how the laboratory is/is not meeting the clause
CSA - 6.5.3.3 ICSA - 6.5.3.3 SDLA - 6.5.3.3 SSA - 6.5.3.3	R37/SDLA.R24 – Accuracy of certification status Does the chartered laboratory have proper controls in place to assure accuracy of information on the certificate and in chartered laboratory records of certified entities?			
CSA - 6.5.3.4 ICSA - 6.5.3.4 SSA - 6.5.3.4	Does the chartered laboratory properly use the ISASecure symbol according to ISO/IEC 17065 as it relates to product version and have a process to monitor its use?			
SDLA - 6.5.3.5	SDLA.R25 – Intermediate audit for changed certification criteria Upon request of the client, does the chartered laboratory audit compliance to a changed requirement, prior to its next recertification cycle?			
CSA - 6.5.3.4	Surveillance Does the chartered laboratory monitor the use of the ISASecure symbol including proper symbol use as it relates to product version?			
CSA - 6.5.3.6	CSA.38 - Withdrawal or termination of certification Does the chartered laboratory have appropriate processes to initiate withdrawal of ISASecure product certification if any of the following conditions for validity of the certificate are not met?			



ISASecure Laboratory Working Document

PJLA

Clause	Summary of Requirement	Document(s)	Conformity Determination	Objective Evidence Reviewed to Make Determination
ISO/IEC 17025:2017 ISASecure CSA/ICSA/SDL A/SSA	The checklist is a tool for recording the evidence of the assessment activity. Assessments shall be conducted using the standard, not this checklist. Refer to the ISA Security Compliance Institute ISASecure Specific Requirements standard for complete clause	CAB QM w/section Policy/SOP/WI	Y/N/NA	Assessor Identify documents/records/observations of practices/ results of interviews, etc. used to determine how the laboratory is/is not meeting the clause
	The product remains in a support status such that an SDLA certified SDL process still applies to the product;			
	The supplier retains their SDLA certification, or if their SDLA certification is lost, reinstates it within a year grace period; and			
	The supplier participated in good faith in the certification process.			
ICSA - 6.5.3.6	ICSA.R38 – Suspension, restoral, withdrawal or termination of certification Does the chartered laboratory ensure that an ISASecure ICSA product certification remain valid for a product and its updates, or that it be suspended, restored, or withdrawn in accordance with ICSA-301 Requirement ISASecure_ICM.R2?			
	If the certifier determines the supplier has not participated in good faith in the certification process, does the certifier withdraw the certification?			
SSA - 6.5.3.6	SSA.R38 – Withdrawal or termination of certification Does the chartered laboratory have appropriate processes to initiate withdrawal of ISASecure product certification if any of the following conditions for validity of the certificate are not met?			



ISASecure Laboratory Working Document

PJLA

Clause	Summary of Requirement	Document(s)	Conformity Determination	Objective Evidence Reviewed to Make Determination
ISO/IEC 17025:2017 ISASecure CSA/ICSA/SDL A/SSA	The checklist is a tool for recording the evidence of the assessment activity. Assessments shall be conducted using the standard, not this checklist. Refer to the ISA Security Compliance Institute ISASecure Specific Requirements standard for complete clause	CAB QM w/section Policy/SOP/WI	Y/N/NA	Assessor Identify documents/records/observations of practices/ results of interviews, etc. used to determine how the laboratory is/is not meeting the clause
	The product remains in a support status such that an SDLA certified SDL process still applies to the product;			
	The supplier retains their SDLA certification, or if their SDLA certification is lost, reinstates it within a year grace period; and			
	The supplier participated in good faith in the certification process.			
CSA - 6.5.3.6 SSA - 6.5.3.6	CSA.R39 – Notification of withdrawal or termination of certification Does the chartered laboratory have appropriate processes to initiate withdrawal of ISASecure product certification if any of the following conditions for validity of the certificate are not met?			
ICSA - 6.5.3.6	ICSA.R39 – Notification of certification status change and certificate updates Does the chartered laboratory provide ISCI an updated certificate after each SMA (per 6.5.3.4) for a certified component, where these updates are as described in ICSA-204?			
	Does the chartered laboratory inform ISCI of any suspension, restoral, withdrawal or termination of an ISASecure product certification at the time it occurs?			



ISASecure Laboratory Working Document

PJLA

Clause	Summary of Requirement	Document(s)	Conformity Determination	Objective Evidence Reviewed to Make Determination
ISO/IEC 17025:2017 ISASecure CSA/ICSA/SDL A/SSA	The checklist is a tool for recording the evidence of the assessment activity. Assessments shall be conducted using the standard, not this checklist. Refer to the ISA Security Compliance Institute ISASecure Specific Requirements standard for complete clause	CAB QM w/section Policy/SOP/WI	Y/N/NA	Assessor Identify documents/records/observations of practices/ results of interviews, etc. used to determine how the laboratory is/is not meeting the clause
	In the case of suspension or restoral, does the chartered laboratory at this time provide ISCI with an annotated certificate indicating suspension or restoral, where these annotations are as described in ICSA-204?			
	Does the chartered laboratory inform the supplier that ISCI posts certificates granted and updates, upon receipt from the chartered laboratory, except that the supplier may request a delay of up to 90 days from the grant date for posting of certificates granted?			
SDLA - 6.5.3.6	SDLA.R26 – Termination of certification Does the chartered laboratory support termination before expiration of a certification?			
SDLA - 6.5.3.6	SDLA.R27 - Notification of termination of certification Does the chartered laboratory inform ISCI of any termination of an SDLA certification at the time it occurs?			
ICSA - 6.5.3.6	ICSA.R39 – Notification of certification status change and certificate updates Does the chartered laboratory provide ISCI an updated certificate after each SMA (per 6.5.3.4) for a certified component, where these updates are as described in ICSA-204?			



ISASecure Laboratory Working Document

PJLA

Clause	Summary of Requirement	Document(s)	Conformity Determination	Objective Evidence Reviewed to Make Determination
ISO/IEC 17025:2017 ISASecure CSA/ICSA/SDL A/SSA	The checklist is a tool for recording the evidence of the assessment activity. Assessments shall be conducted using the standard, not this checklist. Refer to the ISA Security Compliance Institute ISASecure Specific Requirements standard for complete clause	CAB QM w/section Policy/SOP/WI	Y/N/NA	Assessor Identify documents/records/observations of practices/ results of interviews, etc. used to determine how the laboratory is/is not meeting the clause
	Does the chartered laboratory inform ISCI of any suspension, restoral, withdrawal or termination of an ISASecure product certification at the time it occurs?			
	In the case of suspension or restoral, does the chartered laboratory a provide ISCI with an annotated certificate indicating suspension or restoral, where these annotations are as described in ICSA-204?			
	Does the chartered laboratory inform the supplier that ISCI posts certificates granted and updates, upon receipt from the chartered laboratory, except that the supplier may request a delay of up to 90 days from the grant date for posting of certificates granted?			
7.9	Complaints and appeals			
CSA - 6.5.3.7 ICSA - 6.5.3.7 SDLA - 6.5.3.7 SSA - 6.5.3.7	R40 – Complaints regarding evaluations or certifications Does the chartered laboratory demonstrate responsibility for managing the resolution of complaints related to any aspect of compliance for a product it evaluated or certified?			



ISASecure Laboratory Working Document

PJLA

Clause	Summary of Requirement	Document(s)	Conformity Determination	Objective Evidence Reviewed to Make Determination
ISO/IEC 17025:2017 ISASecure CSA/ICSA/SDL A/SSA	The checklist is a tool for recording the evidence of the assessment activity. Assessments shall be conducted using the standard, not this checklist. Refer to the ISA Security Compliance Institute ISASecure Specific Requirements standard for complete clause	CAB QM w/section Policy/SOP/WI	Y/N/NA	Assessor Identify documents/records/observations of practices/ results of interviews, etc. used to determine how the laboratory is/is not meeting the clause
CSA - 6.5.3.7 ICSA - 6.5.3.7 SDLA - 6.5.3.7 SSA - 6.5.3.7	R41/SDLA.R28 – Escalation for complaints and appeals Does the published chartered laboratory process for handling complaints include the provision that complaints may be appealed to ISCI by the party bringing the complaint, if the internal chartered laboratory resolution procedure does not offer a resolution satisfactory to them?			
CSA - 6.5.3.7 ICSA - 6.5.3.7 SDLA - 6.5.3.7 SSA - 6.5.3.7	R42/SDLA.R29 – Escalation for complaints and appeals related to application of specifications Does the chartered laboratory have a process to ensure that appealed complaints are resolved withing the ISCI?			
CSA - 6.6.3.6 ICSA - 6.6.3.6 SDLA - 6.6.3.6 SSA - 6.6.3.6	Complaints to suppliers of CSA certified products			



ISASecure Laboratory Working Document

PJLA

Clause	Summary of Requirement	Document(s)	Conformity Determination	Objective Evidence Reviewed to Make Determination
ISO/IEC 17025:2017 ISASecure CSA/ICSA/SDL A/SSA	The checklist is a tool for recording the evidence of the assessment activity. Assessments shall be conducted using the standard, not this checklist. Refer to the ISA Security Compliance Institute ISASecure Specific Requirements standard for complete clause	CAB QM w/section Policy/SOP/WI	Y/N/NA	Assessor Identify documents/records/observations of practices/ results of interviews, etc. used to determine how the laboratory is/is not meeting the clause
CSA - 6.6.3.6 ICSA - 6.6.3.6 SDLA - 6.6.3.6 SSA - 6.6.3.6	R56/SDLA.R43 – Supplier process for disclosure of complaints related to noncompliance Does the chartered laboratory include in its signed agreement with the client organization that the client organization has a documented process for meeting the requirements regarding complaints they receive related to compliance with ISASecure product certification requirements, that are found per ISO/IEC 17065 4.1.2.2 j?			
CSA - 6.6.3.6 ICSA - 6.6.3.6 SDLA - 6.6.3.6 SSA - 6.6.3.6	R57 – Supplier process for disclosure of complaints related to security of ISASecure certified product Does the signed agreement between the chartered laboratory and the client include provision for any complaint regarding its certified product that is known to the supplier organization and that is determined to affect product security shall be brought to the attention of the chartered laboratory that granted the product certification?			
	Does the chartered laboratory evaluate the impact on the product conformance to the ISASecure CSA requirements?			



ISASecure Laboratory Working Document

PJLA

Clause	Summary of Requirement	Document(s)	Conformity Determination	Objective Evidence Reviewed to Make Determination
ISO/IEC 17025:2017 ISASecure CSA/ICSA/SDL A/SSA	The checklist is a tool for recording the evidence of the assessment activity. Assessments shall be conducted using the standard, not this checklist. Refer to the ISA Security Compliance Institute ISASecure Specific Requirements standard for complete clause	CAB QM w/section Policy/SOP/WI	Y/N/NA	Assessor Identify documents/records/observations of practices/ results of interviews, etc. used to determine how the laboratory is/is not meeting the clause
CSA - 6.6.3.6 ICSA - 6.6.3.6 SDLA - 6.6.3.6 SSA - 6.6.3.6	SDLA.R44 – Supplier process for disclosure of complaints related to security development process effectiveness Does the signed agreement between the chartered laboratory and the client include that any complaint regarding its SDL that is known to the certified organization that is determined to affect product security shall be brought to the attention of the chartered laboratory that granted a certification for the organization's SDL?			
	Does the chartered laboratory evaluate the impact on the organization conformance to the ISASecure SDLA requirements?			
CSA - 6.6.3.6 ICSA - 6.6.3.6 SDLA - 6.6.3.6 SSA - 6.6.3.6	R58 – Disclosure to ISCI of complaints related to ISASecure certified product Does the chartered laboratory process for handling a report under Requirement CSA.R57 include a process to advise ISCI if a modification to the ISASecure specifications should be considered based upon this event?			
	Is this process contingent upon approval from the client making the report, to disclose to ISCI any information concerning their product, whether or not it is attributed to their product?			



ISASecure Laboratory Working Document

PJLA

Clause	Summary of Requirement	Document(s)	Conformity Determination	Objective Evidence Reviewed to Make Determination
ISO/IEC 17025:2017 ISASecure CSA/ICSA/SDL A/SSA	<p>The checklist is a tool for recording the evidence of the assessment activity.</p> <p>Assessments shall be conducted using the standard, not this checklist.</p> <p>Refer to the ISA Security Compliance Institute ISASecure Specific Requirements standard for complete clause</p>	CAB QM w/section Policy/SOP/WI	Y/N/NA	Assessor Identify documents/records/observations of practices/ results of interviews, etc. used to determine how the laboratory is/is not meeting the clause
CSA - 6.6.3.6 ICSA - 6.6.3.6 SDLA - 6.6.3.6 SSA - 6.6.3.6	<p>SDLA.R44 – Supplier process for disclosure of complaints related to security development process effectiveness</p> <p>Does the signed agreement between the chartered laboratory and the client include the following provisions?</p>			
	<p>That any complaint regarding its SDL that is known to the certified organization that is determined to affect product security shall be brought to the attention of the chartered laboratory that granted a certification for the organization's SDL.</p>			
	<p>That the laboratory shall evaluate the impact on the organization conformance to the ISASecure SDLA requirements.</p>			
CSA - 6.6.3.6 ICSA - 6.6.3.6 SDLA - 6.6.3.6 SSA - 6.6.3.6	<p>SDLA.R45 – Disclosure to ISCI of complaints related to security development lifecycle effectiveness</p> <p>Does the chartered laboratory process for handling a report under Requirement SDLA.R44 include a process to advise ISCI if a modification to the ISASecure specifications should be considered based upon this event?</p>			
	<p>Is this process contingent upon approval from the client making the report, to disclose to ISCI any information concerning their SDL, whether or not it is attributed to their SDL?</p>			



ISASecure Laboratory Working Document

PJLA

Clause	Summary of Requirement	Document(s)	Conformity Determination	Objective Evidence Reviewed to Make Determination
ISO/IEC 17025:2017 ISASecure CSA/ICSA/SDL A/SSA	The checklist is a tool for recording the evidence of the assessment activity. Assessments shall be conducted using the standard, not this checklist. Refer to the ISA Security Compliance Institute ISASecure Specific Requirements standard for complete clause	CAB QM w/section Policy/SOP/WI	Y/N/NA	Assessor Identify documents/records/observations of practices/ results of interviews, etc. used to determine how the laboratory is/is not meeting the clause
8	Management systems requirements			
8.3	Control of documents (Option A)			
CSA - 6.6.3.1 ICSA - 6.6.3.1 SDLA - 6.6.3.1 SSA - 6.6.3.1	General Management System Documentation			
CSA - 6.6.3.1 ICSA - 6.6.3.1 SDLA - 6.6.3.1 SSA - 6.6.3.1	R43/SDLA.R30 – Scope of procedures under management system Do the chartered laboratory procedures cover the entire "quality loop" from application for services to final assessment or listing of certification status, including follow-up services?			
CSA - 6.6.3.1 ICSA - 6.6.3.1 SDLA - 6.6.3.1 SSA - 6.6.3.1	R44/SDLA.R31 – Responsibility for quality Does the chartered laboratory?			
	Identify the personnel responsible for quality, other general and the specific responsibilities for quality, and the authority delegated to each activity;			
	Specify the coordination necessary between different activities; and			
	Identify the control over activities that affect quality.			
CSA - 6.6.3.1 ICSA - 6.6.3.1 SDLA - 6.6.3.1 SSA - 6.6.3.1	R45/SDLA.R32 – Housekeeping Are adequate measures taken to ensure good housekeeping at the chartered laboratory facilities where evaluation activities are performed?			



ISASecure Laboratory Working Document

PJLA

Clause	Summary of Requirement	Document(s)	Conformity Determination	Objective Evidence Reviewed to Make Determination
ISO/IEC 17025:2017 ISASecure CSA/ICSA/SDL A/SSA	The checklist is a tool for recording the evidence of the assessment activity. Assessments shall be conducted using the standard, not this checklist. Refer to the ISA Security Compliance Institute ISASecure Specific Requirements standard for complete clause	CAB QM w/section Policy/SOP/WI	Y/N/NA	Assessor Identify documents/records/observations of practices/ results of interviews, etc. used to determine how the laboratory is/is not meeting the clause
CSA - 6.6.3.1 ICSA - 6.6.3.1 SDLA - 6.6.3.1 SSA - 6.6.3.1	R46/SDLA.R33 – Item inventory Do the chartered laboratory procedures for handling of artifacts, or customer or laboratory equipment to be tested or used in tests, address item inventory?			
CSA - 6.6.3.1 ICSA - 6.6.3.1 SDLA - 6.6.3.1 SSA - 6.6.3.1	R47/SDLA.R34 – Facility security Does the chartered laboratory have measures and procedures related to security include provisions for?			
	controlling access, off hours security, and fire protection for the facility;			
	informing all personnel security policies;			
	limiting distribution of confidential information;			
	limiting access to and safe storage of records (including certificates and reports);			
	back-up or off-site storage; and designate personnel responsible for monitoring security.			
CSA - 6.6.3.2 ICSA - 6.6.3.2 SDLA - 6.6.3.2 SSA - 6.6.3.2	Control of documents			



ISASecure Laboratory Working Document

PJLA

Clause	Summary of Requirement	Document(s)	Conformity Determination	Objective Evidence Reviewed to Make Determination
ISO/IEC 17025:2017 ISASecure CSA/ICSA/SDL A/SSA	The checklist is a tool for recording the evidence of the assessment activity. Assessments shall be conducted using the standard, not this checklist. Refer to the ISA Security Compliance Institute ISASecure Specific Requirements standard for complete clause	CAB QM w/section Policy/SOP/WI	Y/N/NA	Assessor Identify documents/records/observations of practices/ results of interviews, etc. used to determine how the laboratory is/is not meeting the clause
CSA - 6.6.3.2 ICSA - 6.6.3.2 SDLA - 6.6.3.2 SSA - 6.6.3.2	R48/SDLA.R35 – Processing for revisions to normative specifications Does the chartered laboratory identify the personnel responsible for maintaining and distributing revised specifications, and a method to notify all relevant locations, including clients and agents, about modifications or amendments?			
CSA - 6.6.3.2 ICSA - 6.6.3.2 SDLA - 6.6.3.2 SSA - 6.6.3.2	R49/SDLA.R36 – Archival of superseded specifications Does the chartered laboratory archive all superseded normative specifications?			
8.4	Control of records			
CSA - 6.6.3.5 ICSA - 6.6.3.5 SDLA - 6.6.3.5 SSA - 6.6.3.5	R50/SDLA.R37 – Maintenance of records Do the chartered laboratory records maintained for evaluation and certification identify the personnel responsible for maintaining records and how to correct or modify information on a record?			
8.8	Internal Audits (Option A)			
CSA - 6.6.3.5 ICSA - 6.6.3.5 SDLA - 6.6.3.5 SSA - 6.6.3.5	R52/SDLA.R39 – Basis for internal audits Do the internal quality audit policies and procedures specify the basis for conducting audits?			



ISASecure Laboratory Working Document

PJLA

Clause	Summary of Requirement	Document(s)	Conformity Determination	Objective Evidence Reviewed to Make Determination
ISO/IEC 17025:2017 ISASecure CSA/ICSA/SDL A/SSA	The checklist is a tool for recording the evidence of the assessment activity. Assessments shall be conducted using the standard, not this checklist. Refer to the ISA Security Compliance Institute ISASecure Specific Requirements standard for complete clause	CAB QM w/section Policy/SOP/WI	Y/N/NA	Assessor Identify documents/records/observations of practices/ results of interviews, etc. used to determine how the laboratory is/is not meeting the clause
CSA - 6.6.3.5 ICSA - 6.6.3.5 SDLA - 6.6.3.5 SSA - 6.6.3.5	R53/SDLA.R40 – Contents included in internal audit reports Do the audit reports include the name(s) of the auditor(s), the areas audited, the dates of the audit and the signature of the auditor(s), the discrepancies encountered, corrective action plan (including time for completion and evidence of implementation), and review by upper management?			
CSA - 6.6.3.5 ICSA - 6.6.3.5 SDLA - 6.6.3.5 SSA - 6.6.3.5	R54/SDLA.R41 – Internal audits of satellite facilities Does QA oversight of company owned satellite facilities include routine and documented internal audits of satellite facility personnel, regular headquarters review and audit of the quality assurance program and audits conducted by satellite personnel, and consistency of technical records and interpretations among all facilities?			
CSA - 6.6.3.5 ICSA - 6.6.3.5 SDLA - 6.6.3.5 SSA - 6.6.3.5	R55/SDLA.R42 – Implementation for permanent corrective actions Do the internal quality audit policies and procedures specify how permanent changes resulting from corrective actions are recorded in standard operating procedures, instructions, manuals and specifications?			



ISASecure Laboratory Working Document

PJLA

Clause	Summary of Requirement	Document(s)	Conformity Determination	Objective Evidence Reviewed to Make Determination
ISO/IEC 17025:2017 ISASecure CSA/ICSA/SDL A/SSA	The checklist is a tool for recording the evidence of the assessment activity. Assessments shall be conducted using the standard, not this checklist. Refer to the ISA Security Compliance Institute ISASecure Specific Requirements standard for complete clause	CAB QM w/section Policy/SOP/WI	Y/N/NA	Assessor Identify documents/records/observations of practices/ results of interviews, etc. used to determine how the laboratory is/is not meeting the clause
8.9	Management reviews			
CSA - 6.6.3.4 ICSA - 6.6.3.4 SDLA - 6.6.3.4 SSA - 6.6.3.4	R51/SDLA.R38 – Management follow-up review for deficiencies Do Internal quality audit policies and procedures specify the management review of reasons for deficiencies, conclusions, recommendations on corrective actions, and the effectiveness of corrective actions?			